

02-16-01

PTO/SB/05 MODIFIED BY AT&T CORP.

UTILITY

Attorney Docket No.

1999-0274

Total Pages

38

PATENT APPLICATION
TRANSMITTAL

(only for nonprovisional applications under 37 CFR 1.53(b))

First Named Inventor or Application Identifier

James Alexander Reeds III et al.

Express Mail Label No.

EL700777071US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 202311. ☒ Fee Transmittal Form

(submit an original, and a duplicate for fee processing)

2. ☒ Specification [Total Pages 20]

(preferred arrangement set forth below)

- Descriptive title of invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings(if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s)(35 USC 113) [Total Sheets 6]

4. Oath or Declaration [Total Pages 3]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application(37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)

5. ☐ Incorporation by reference(useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference herein

- 6. ☐ Microfiche Computer Program (Appendix)
- 7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy(identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8. ☒ Assignment Papers(cover sheet & document(s))
- 9. ☐ 37 CFR 3.73(b)Statement ☐ Power of Attorney
- 10. ☐ English Translation Document (if applicable)
- 11. ☒ Information Disclosure ☒ Copies of IDS
Statement(IDS)/PTO-1449 Citations
- 12. ☐ Preliminary Amendment
- 13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- 14. ☐ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired
- 15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16. ☒ Other: Requisition for Non-Publication

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No:

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

NAME Samuel H. Dworetzky

ADDRESS AT&T CORP. P.O. Box 4110

CITY Middletown

STATE

New Jersey

ZIP CODE

07748-4110

COUNTRY United States of America

FAX

732-368-6932

19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME Thomas M. Isaacson

Reg. #

44166

TELEPHONE 410-257-5485

SIGNATURE *Thomas M. Isaacson*

DATE

February 15, 2001

"Express Mail" Mailing Label Number EL700777071US

Date of Deposit February 15, 2001

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington D.C. , 20231

NICOLE BARRETT

(Printed Name of Person Mailing Paper)

Nicole Barrett

(Signature of Person Mailing Paper)

FEE TRANSMITTAL		Complete if Known																																																																																																																					
Fees are subject to annual revision on October 1 These are the fees effective October 1, 1997 Small entity payments must be supported by a small entity Statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12		Application Number																																																																																																																					
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1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to. Deposit Account Number: 01-2745 Deposit Account Name: AT&T CORP. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance		3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee(\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1840*</td><td>Requesting publication of SIR after to Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1390</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1890</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1240</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1240</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>580</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>581</td><td>40</td><td>Recording each patent assignment per property(times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>Filing a submission after final rejection(37 CFR 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td colspan="3">Other fee (specify)</td><td></td></tr> <tr><td colspan="3">Other fee (specify)</td><td></td></tr> </tbody> </table>		Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid	105	130	Surcharge - late filing fee or oath		127	50	Surcharge - late provisional filing fee or cover sheet		139	130	Non-English specification		147	2520	For filing a request for reexamination		112	920*	Requesting publication of SIR prior to Examiner action		113	1840*	Requesting publication of SIR after to Examiner action		115	110	Extension for reply within first month		116	390	Extension for reply within second month		117	890	Extension for reply within third month		118	1390	Extension for reply within fourth month		128	1890	Extension for reply within fifth month		119	310	Notice of Appeal		120	310	Filing a brief in support of an appeal		121	270	Request for oral hearing		138	1510	Petition to institute a public use proceeding		140	110	Petition to revive - unavoidable		141	1240	Petition to revive - unintentional		142	1240	Utility issue fee (or reissue)		143	440	Design issue fee		144	580	Plant issue fee		122	130	Petitions to the Commissioner		123	50	Petitions related to provisional applications		126	240	Submission of Information Disclosure Statement		581	40	Recording each patent assignment per property(times number of properties)		146	710	Filing a submission after final rejection(37 CFR 1.129(a))		149	710	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify)				Other fee (specify)			
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SEND TO: Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	James Alexander Reeds III et al.
	Title	Apparatus, System And Method For Detecting A Loss Of Key Stream Synchronization In A Communication System
	Atty Docket Number	1999-0274

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

2/14/01
Date

Thomas M. Isaacson
Signature

Thomas M. Isaacson, Attorney
Reg. No. 44166

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**